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# Gyrokinetic simulation of a fast L-H like bifurcation dynamics in a realistic diverted tokamak edge geometry

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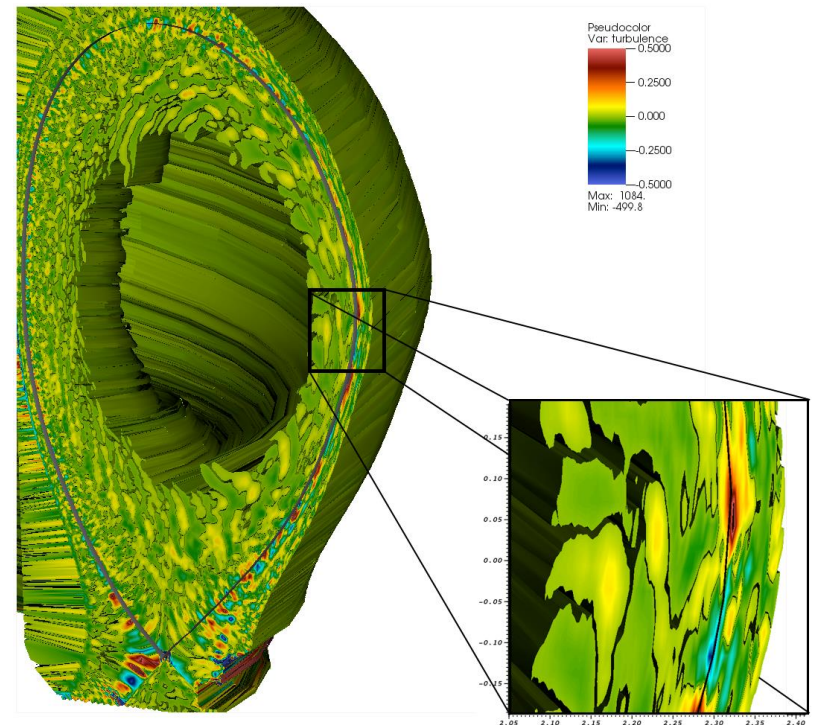
**SciDAC-3 Center for Edge Physics Simulation**

**\*Funding provided by DOE FES/ASCR. Computer resources provided by OLCF**

# Outline

- A brief survey of experimental L-H bifurcation observations
- Difficulties in the L-H transition simulation
- XGC1 simulation in a model C-Mod plasma
  - Suppression of turbulence, and heat & particle transport
- New physics learned
- Summary and discussion

Density fluctuation from blobby and ITG-TEM turbulence in a DIII-D H-mode like edge plasma.



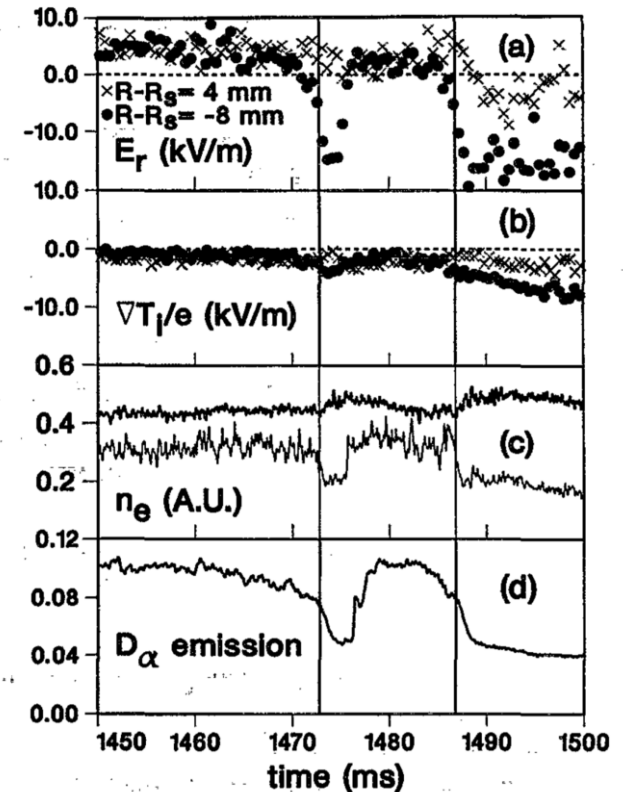
# Different experimental observations in L-H transition

Two different types of experimental observations for the role of the sheared-ExB flow ( $V'_{\text{ExB}}$ ) in edge-turbulence bifurcation:

1. Turbulence generated zonal  $V'_{\text{ExB}}$ : Reynolds stress
  - Yan et al., IAEA16 & PRL14; Schmitz, IAEA16; Tynan, NF13; and others]
2. Neoclassically generated  $V'_{\text{ExB}}$ : X-point orbit-loss [Chang et al, PoP02]
  - Kobayashi et al., PRL13, and others (X-point orbit-loss)
  - Cavedon, NF17 (Neoclassical)
  - NSTX finds that  $P_{\text{L-H}}$  is strongly correlated with orbit-loss  $V'_{\text{ExB}}$  [Kaye, NF11; Battaglia, NF13]

# 1. Turbulent zonal $V'_{\text{ExB}}$ & L-H bifurcation in experiment

- $F_{\theta, \text{Reynolds}} = -d\langle \delta V_r \delta V_\theta \rangle / dr$
- Became basis for the predator-prey model [Kim-Diamond, PRL03, and others]
  - When the Reynolds energy extraction ( $\int dt F_{\theta, \text{Reynolds}}$ ) exceeds the turbulent kinetic energy, turbulence quenching can occur.
- **Unanswered questions if the Reynolds stress solely responsible**
  - Right after the turbulence quenching, what is supporting the strong  $V'_{\text{ExB}}$ ?
    - Several experiments report that a strong  $\nabla p$  (and its effect on  $V'_{\text{ExB}}$ ) develops only well after a fast bifurcation [Moyer et al., PoP1995; and others]
  - What breaks the symmetry in the  $F_{\text{Reynolds}}$ , thus the  $V'_{\text{ExB}}$  direction?
  - Why some machines do not see much Reynolds work?

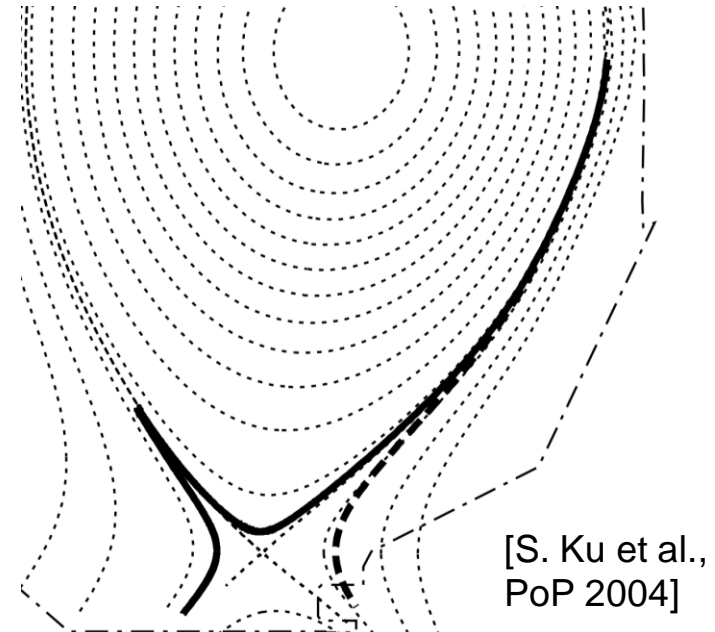
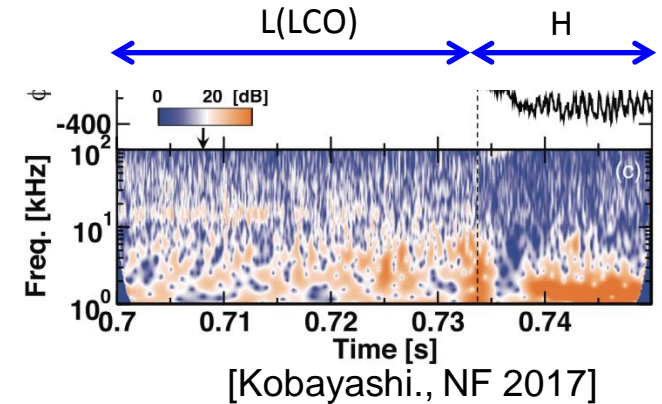


[Moyer et al., PoP1995]

## 2. Neoclassically generated $V'_{\text{ExB}}$ & L-H bifurcation in experiment, w/o much Reynolds work

- $V'_{\text{ExB}}$  is driven by  $\nabla p$ ? [Cavedon et al., NF2017, ASDEX-U]
- Orbit-loss-driven  $V'_{\text{ExB}}$  [Kobayashi et al., PRL2013, and others]
- NSTX found  $P_{\text{L-H}}$  is strongly correlated with orbit-loss  $V'_{\text{ExB}}$  [Kaye, NF2011; Battaglia, NF2013]

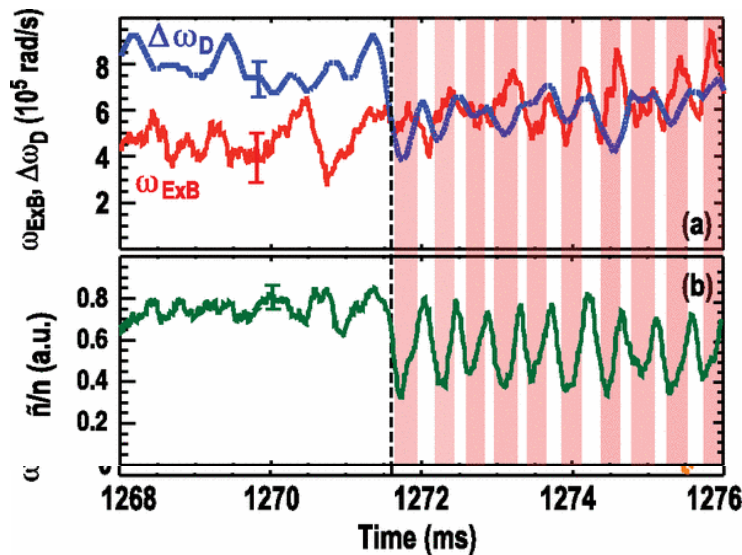
- Could it be possible that the Reynolds stress and orbit loss mechanism work together, with one stronger than the other depending upon the plasma/geometry condition?
- Could the combined Reynolds and X-loss physics provide the missing puzzle pieces in L-H transition physics?



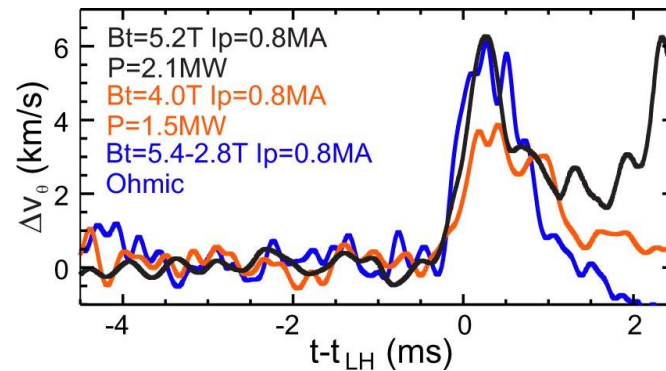
# Experimental observations of L-H bifurcation time scale, GAM, and LCO

- When the heating power is close to  $P_{LH}$ , the bifurcation is observed to be slow with many limit cycles (I-phase) [Schmitz et al. PRL12 and others]
- When the heating power is well above  $P_{LH}$ , the bifurcation is forced to be fast ( $< 0.1$  ms) with an abbreviated I-phase [Cziegler et al., PPCF14, and others]

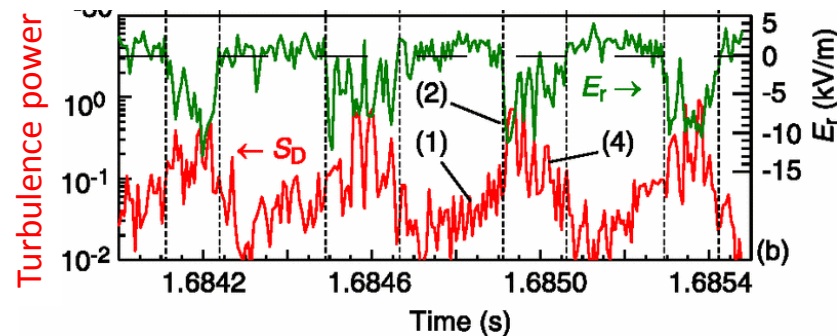
GAM and Limit cycle oscillation observed as L-mode approaches L-H bifurcation [Conway et al., PRL11]



[Schmitz et al. PRL12]



[Cziegler, PPCF 2014]



[Conway, PRL11]

# Why has a gyrokinetic L-H study not been done previously?

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## Difficulty

- Multiscale in space and time
    - Turbulence
    - Neoclassical with ion orbit loss
    - Neutral particles with ionization and charge exchange
  - Magnetic separatrix ( $q=\infty$ ), which interfaces two different magnetic topology
  - Nonlocal physics

Radial turbulence correlation width  $\sim$  plasma gradient scale length  $\sim$  ExB shearing width  $\sim$  neutral penetration length
  - Large amplitude nonlinear turbulence:  $\delta n/n > 10\%$
  - Non-Maxwellian plasma
    - Requires fully nonlinear and conserving Collisions
- Total-f simulation with  $\sim 100X$  more number of marker particles than delta-f simulation in the complex edge geometry: XGC.
- We thought it would require  $>100PF$  computer, non-existent in US.



# Previously, compute resources discouraged us from studying the L-H transition physics

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If we were to establish a global transport-equilibrium in an L-mode plasma, move toward the bifurcation by quasistatically increasing  $P_{\text{heat}}$ , go through the bifurcation, and build up pedestal, we would not have enough compute resources to study the transition.

→ Requires >10X faster computer than Titan at ORNL.

**A new strategy** to make the transition physics study possible on Titan:

- Bifurcation may not be a global transport-equilibrium phenomenon
  - Bifurcation itself may be a localized phenomenon at edge
- Study only the edge bifurcation itself, as soon as the L-mode edge turbulence is established.
  - Force the bifurcation by having  $P_{\text{edge}} \gg P_{\text{LH}}$
  - Experimentally, a forced L-H bifurcation action could be completed in  $<0.1\text{ms}$  (Cziegler PPCF 2014, Yan-McKee, PRL2014).
  - Take advantage of the fast establishment of edge physics
- Low beta electrostatic simulation



# In the core plasma, $f$ evolves slowly

For this argument, let's use the drift kinetic equation

$$\partial f / \partial t + (\mathbf{v}_{||} + \mathbf{v}_d) \cdot \nabla f + (e/m) E_{||} v_{||} \partial f / \partial w = C(f, f) + \text{Sources/Sinks}$$

where  $w$  is the particle kinetic energy.

**In a near-thermal equilibrium**, we take the “transport ordering” (= diffusive ordering):

$$\partial f / \partial t = O(\delta^2), S = O(\delta^2), \text{ with } \delta \ll 1$$

- Let  $f = f_0 + \delta f$ , with  $\delta f / f_0 = O(\delta)$ ,  $\delta \ll 1$ ,  $v_d / v_{||} = O(\delta)$ ,  $E_{||} / m = O(\delta \text{ or } \delta^2)$

$$O(\delta^0): v_{||} \cdot \nabla f_0 = C(f_0, f_0) \rightarrow f_0 = f_M: \text{H-theorem}$$

$$O(\delta^1): \partial \delta f / \partial t + v_{||} \cdot \nabla \delta f + v_d \cdot \nabla f_0 + (e/m) E_{||} v_{||} \partial f_0 / \partial w = C(\delta f)$$

- ✧ Perturbative kinetic theories then yield transport coefficients =  $O(\delta^2)$
- ✧ In this case, fluid transport equations ( $f_0 \rightarrow n, T$ ) can be used with the kinetically evaluated or ad hoc closures

→ **GK simulation is cheaper per physics time, but  $\delta f$  equilibrates on a slow time scale  $O(\delta^1 \omega_{bi}) \sim ms$ . And, a meaningful time evolution of  $f_0$  in  $V_T$  frame can only be obtained in a long “transport-time” scale  $O(\delta^2 \omega_{bi})$ .  $V_T$  evolves on an even slower time scale.**

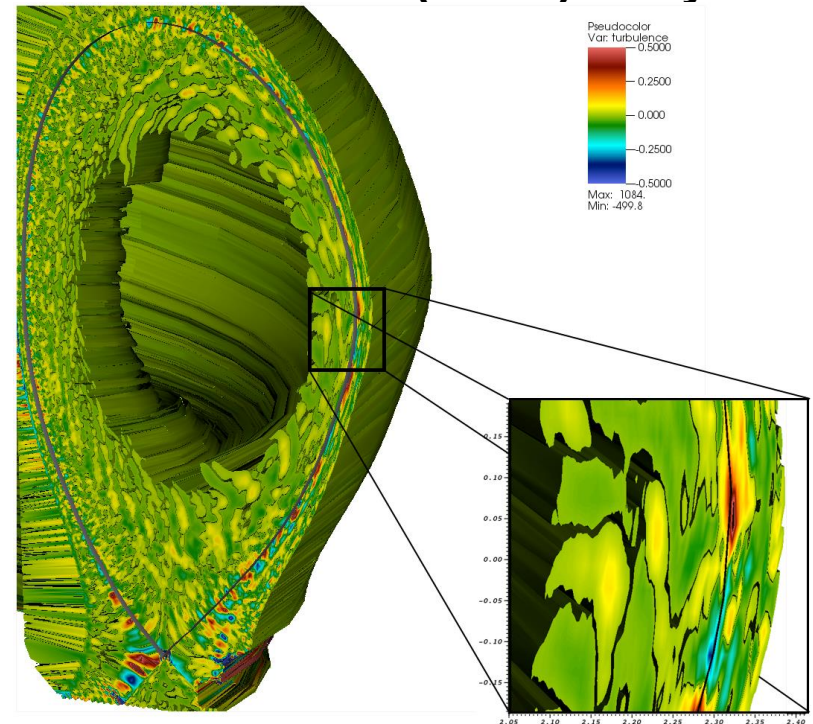
# In edge plasma, $f$ evolves fast

- Ion radial orbit excursion width  $\sim$  pedestal width & scrape-off layer width
- Orbit loss from  $\psi_N < 1$  and parallel particle loss to divertor

**All terms can be large:**  $\sim$  either  $O(\omega_{bi})$  or  $O(v_C)$

- $\mathbf{v}_{||} \cdot \nabla f \sim \mathbf{v}_d \cdot \nabla f \sim C(f, f) \sim eE_{||}v_{||}/m \partial f/\partial w \sim O(\omega_{bi}) \sim 0.05 \text{ ms in DIII-D}$
- $f$  equilibrates very fast:  $\partial f/\partial t + (\mathbf{v}_{||} + \mathbf{v}_d) \cdot \nabla f (e/m) + E_{||}v_{||} \partial f/\partial w = C(f, f) + S$
- If  $S_{neutral}$  is small, it does not affect the fundamental structure of  $f$ .

**Fast-evolving nonthermal kinetic system: expensive per physics time  $\rightarrow$  extreme scale computing. However, a short time simulation ( $\sim 0.1X$ ) can yield meaningful physics.**



# XGC gyrokinetic codes (V&V summary at [epsi.pppl.gov](http://epsi.pppl.gov))

## XGC1: X-point Gyrokinetic Code 1

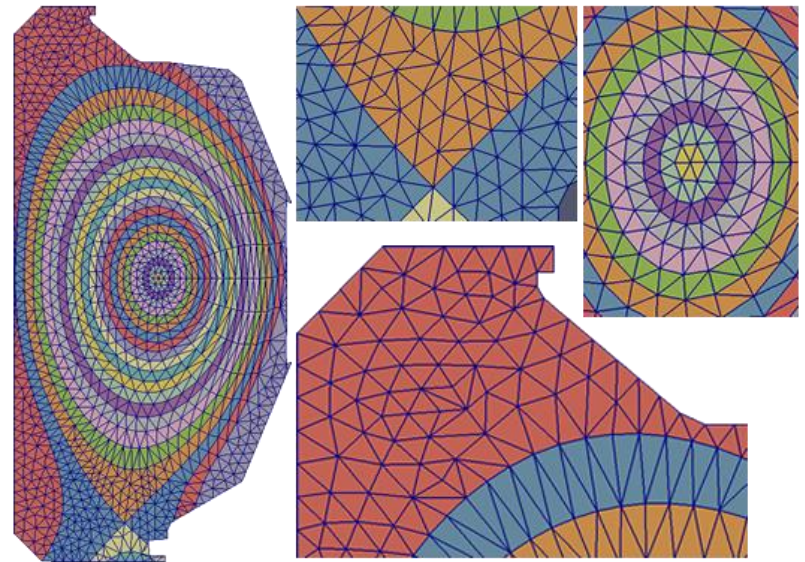
- Kinetic ions and electrons
- Lagrangian PIC + Eulerian 5D grid
- Heat and momentum source in core
- Monte Carlo neutrals with wall recycling
- Fully nonlinear Fokker-Planck Coulomb collision operation
- Logical wall-sheath
- Unstructured triangular mesh
- EM with fully implicit drift-kinetic electrons (partially verified).

## XGC1-hybrid: GK ions + fluid electrons

- Implicit fluid electrons (Hager PoP17)

## XGCa: Axisymmetric gyrokinetic version of XGC1

## XGC0: Axisymmetric and flux surface averaged drift-kinetic version



Full-f + Neutral particles +  
Unstructured triangular grid  
→ **Expensive to simulate**  
→ **Requires extreme scale HPCs**

# For the present L-H bifurcation study, we have performed a low-beta electrostatic edge simulation using XGC1

## Plasma condition

- C-Mod #1140613017 while in L-mode, single-null
- $\beta_e \approx 0.01\%$  ( $< m_e/m_i$ ) in the bifurcation layer
- $\nabla B$ -drift direction has been flipped to be into the divertor

## Include the most important multiscale physics

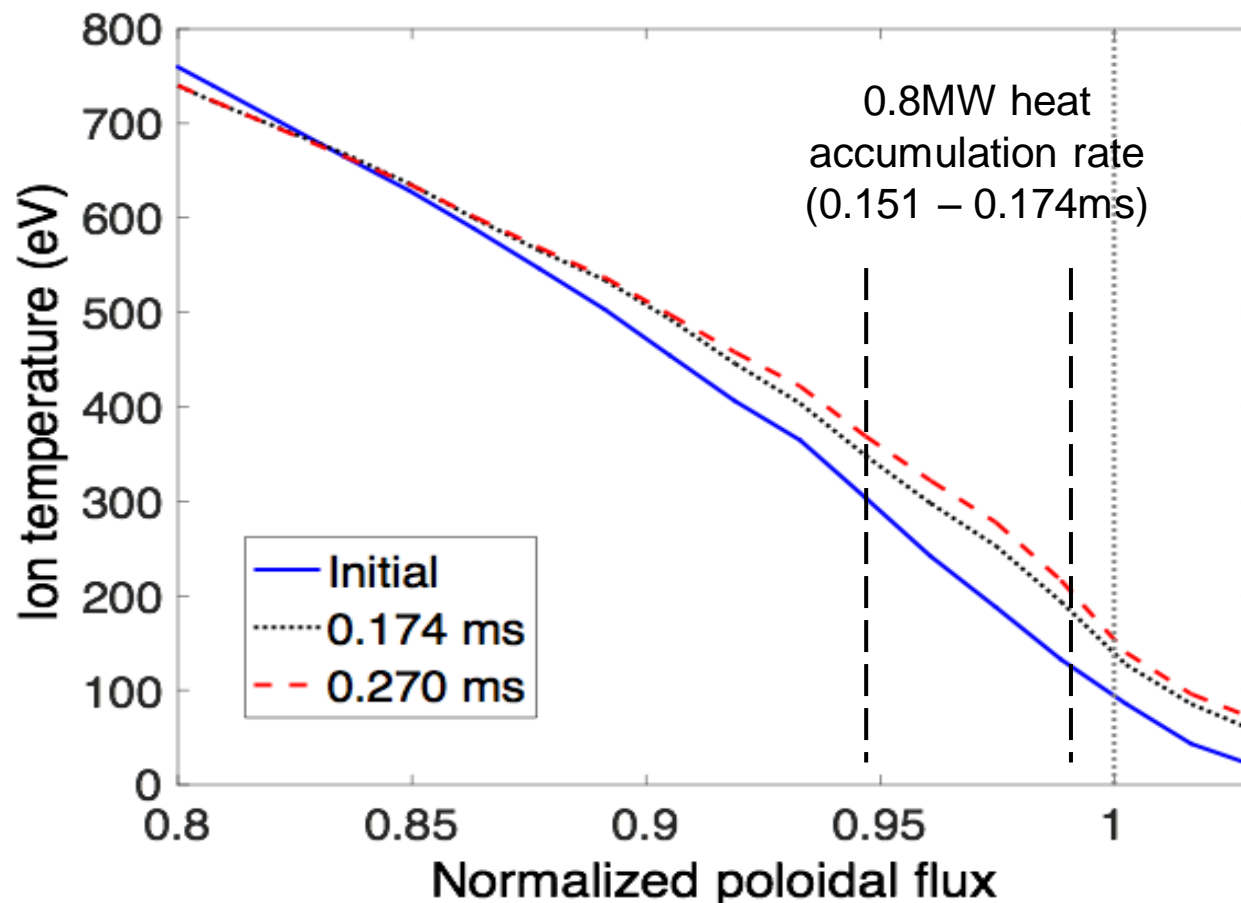
- Neoclassical kinetic physics
- Nonlinear electrostatic turbulence
  - ITG, TEM, Resistive ballooning, Kelvin-Helmholtz, other drift waves
- Neutral particle recycling with CX and ionization
- Realistic diverted geometry

Electromagnetic correction to the present result is left for a future work.

# Use a L-mode plasma from C-Mod (beta~0.01%)

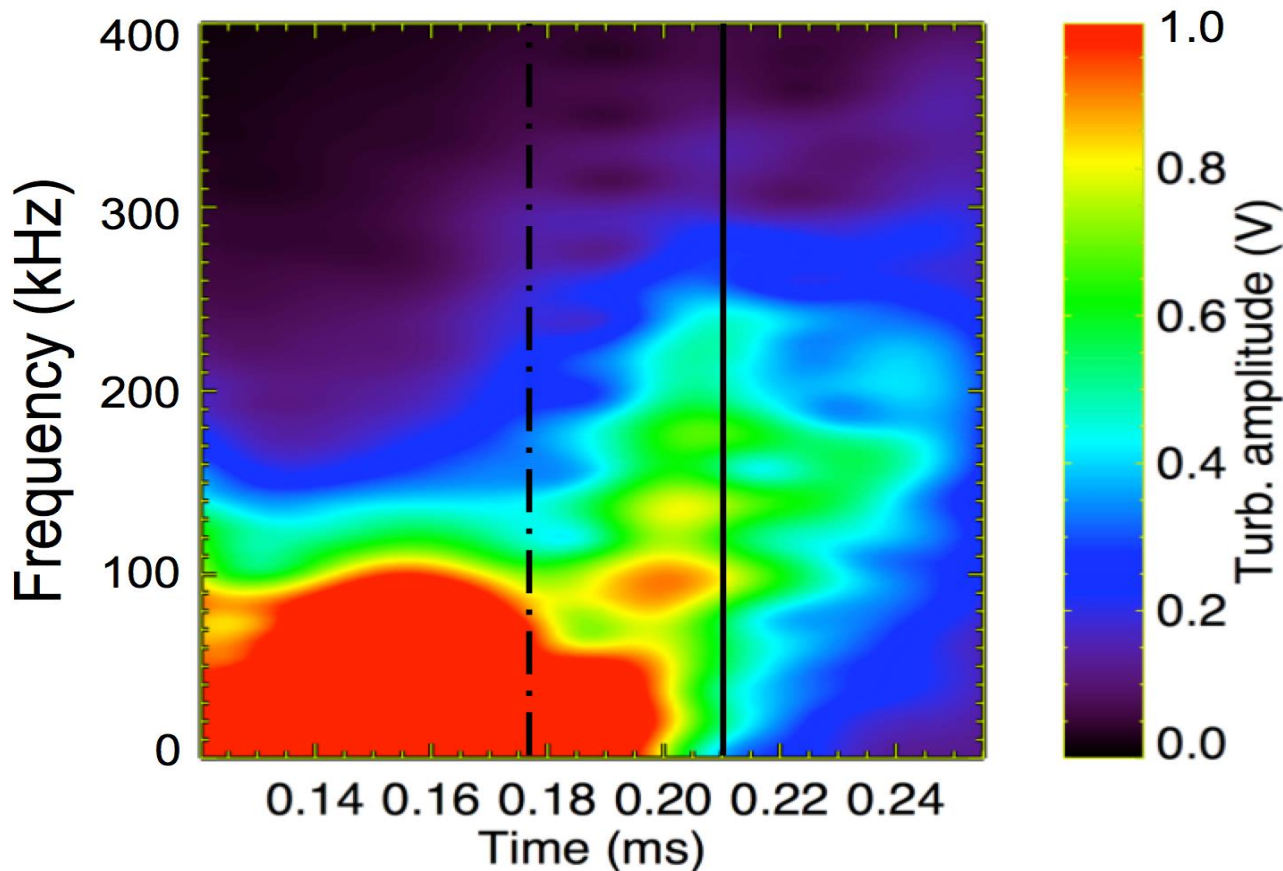
Edge temperature increases from heat accumulation

In a developed H-mode pedestal,  $dV_E/dr > 0$  at  $\Psi_N \sim 0.97$ .  
Any bifurcation mechanism needs to be consistent with this sign.



# Overview: Turbulence behavior at bifurcation

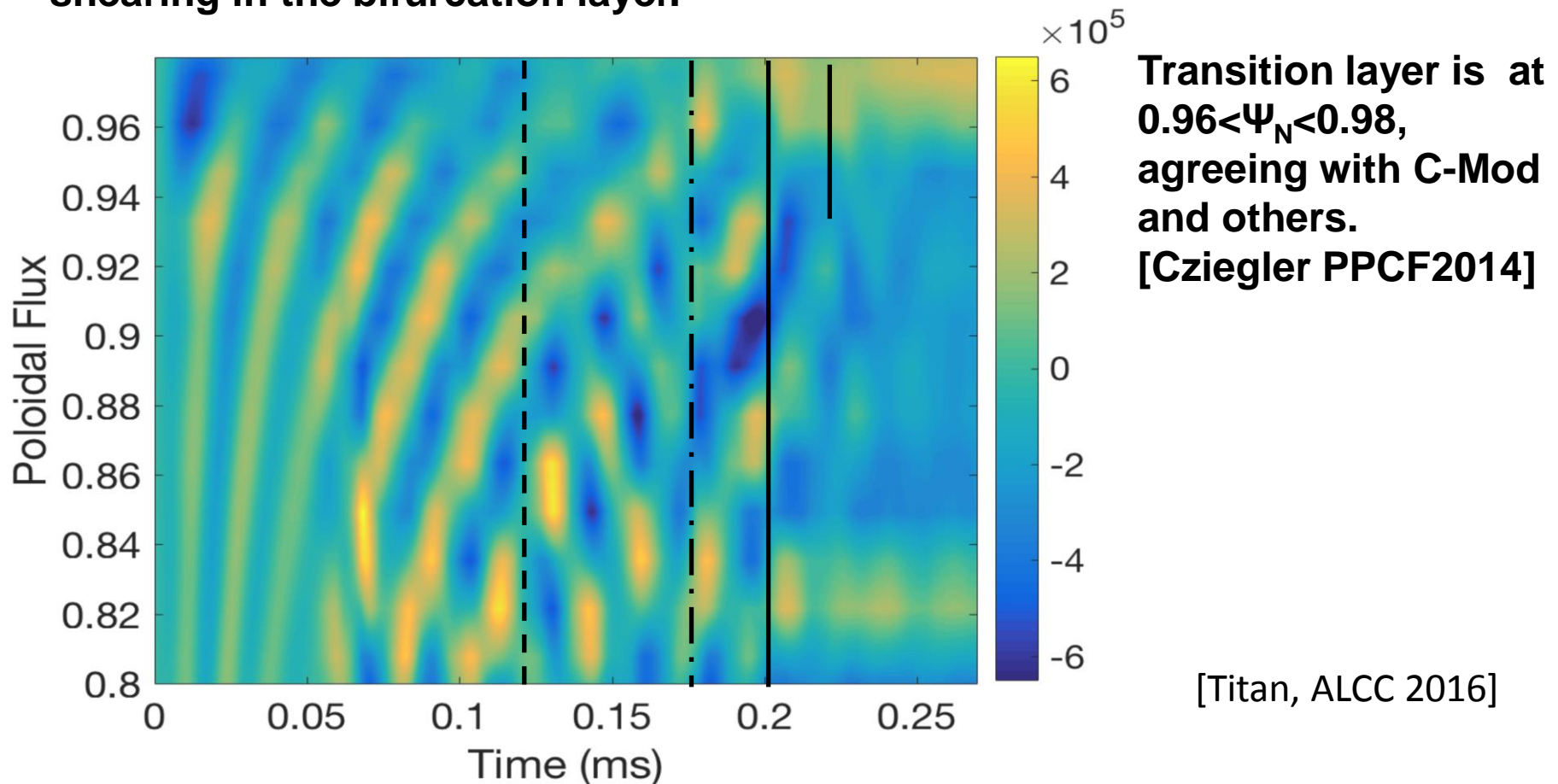
1.  $t \sim 0.175$  ms, higher frequency, lower amplitude turbulence is generated together with suppression of lower frequency, higher amplitude turbulence starts (eddy tearing by ExB shearing, to be shown).
2.  $t \sim 0.21$  ms, suppression of the higher amplitude turbulence (red) is complete, and suppression of the lower amplitude turbulence begins (shades of green and blue).





# Time-radius behavior of the ExB flow shear $V_E'$

1.  $t=0.12\text{ms}$ ,  $V_E'$  settles down in  $\Psi_N \sim 0.97-98$
2.  $t < 0.17\text{ms}$ , positive part of  $V_E'$  does not penetrate into the edge layer ( $\rho > 0$ )  
Gyrokinetic Poisson Eq.  $(\rho_i^2 / \lambda_D^2) \epsilon_0 B V_E' \simeq e(n_e - n_{i,gc})$
1.  $t \sim 0.175\text{ms}$ , something kicks the  $V_E' > 0$  flow into the edge layer ( $\rho < 0$ )
2.  $t \sim 0.2\text{ms}$ , something then locks the sheared ExB flow into the mean ExB shearing in the bifurcation layer.



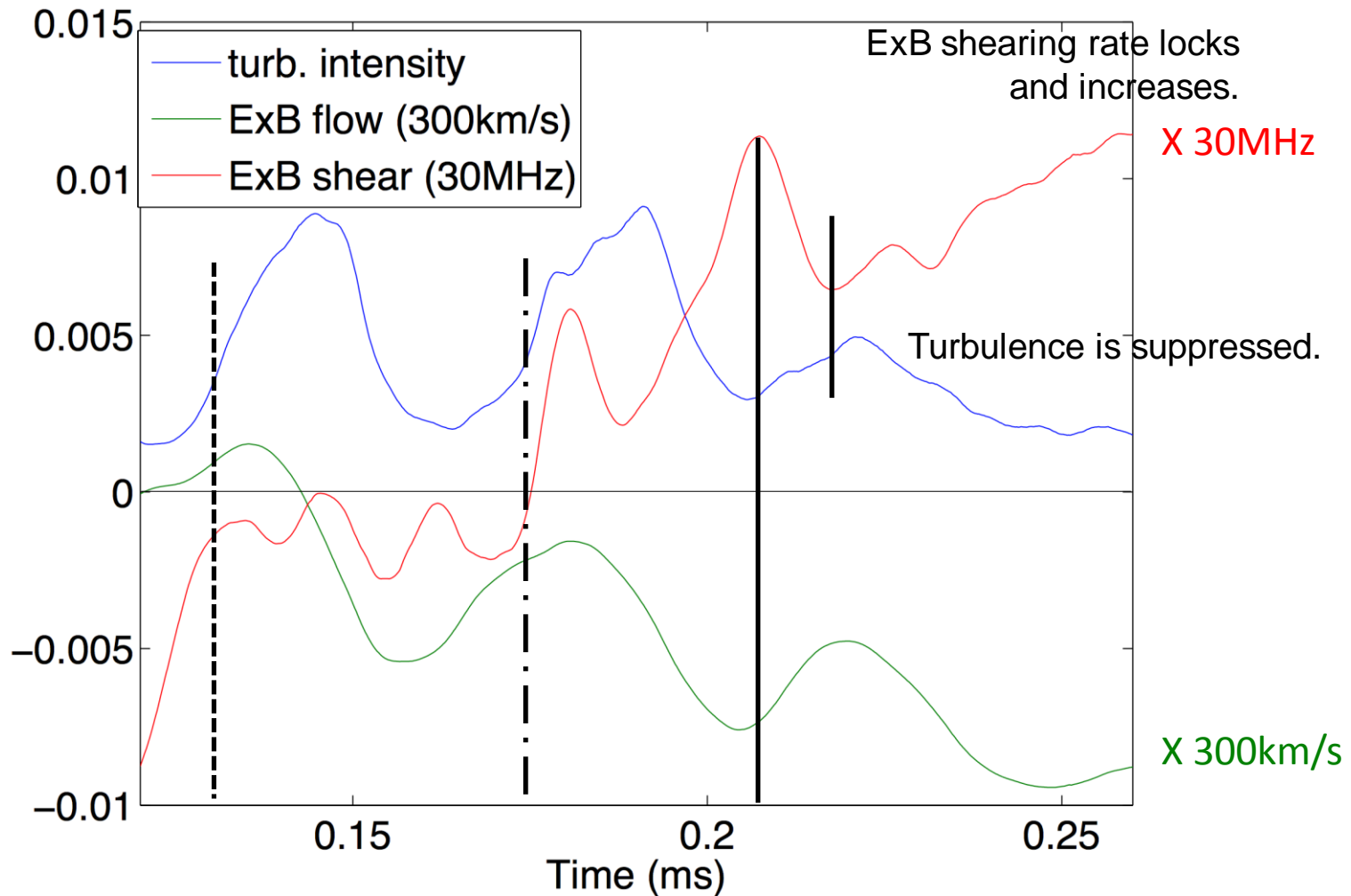


## Detailed local analysis at $\Psi_N=0.975$ :

Important physics quantity is the ExB shearing rate,  $V_E'$ , not  $V_E$ .

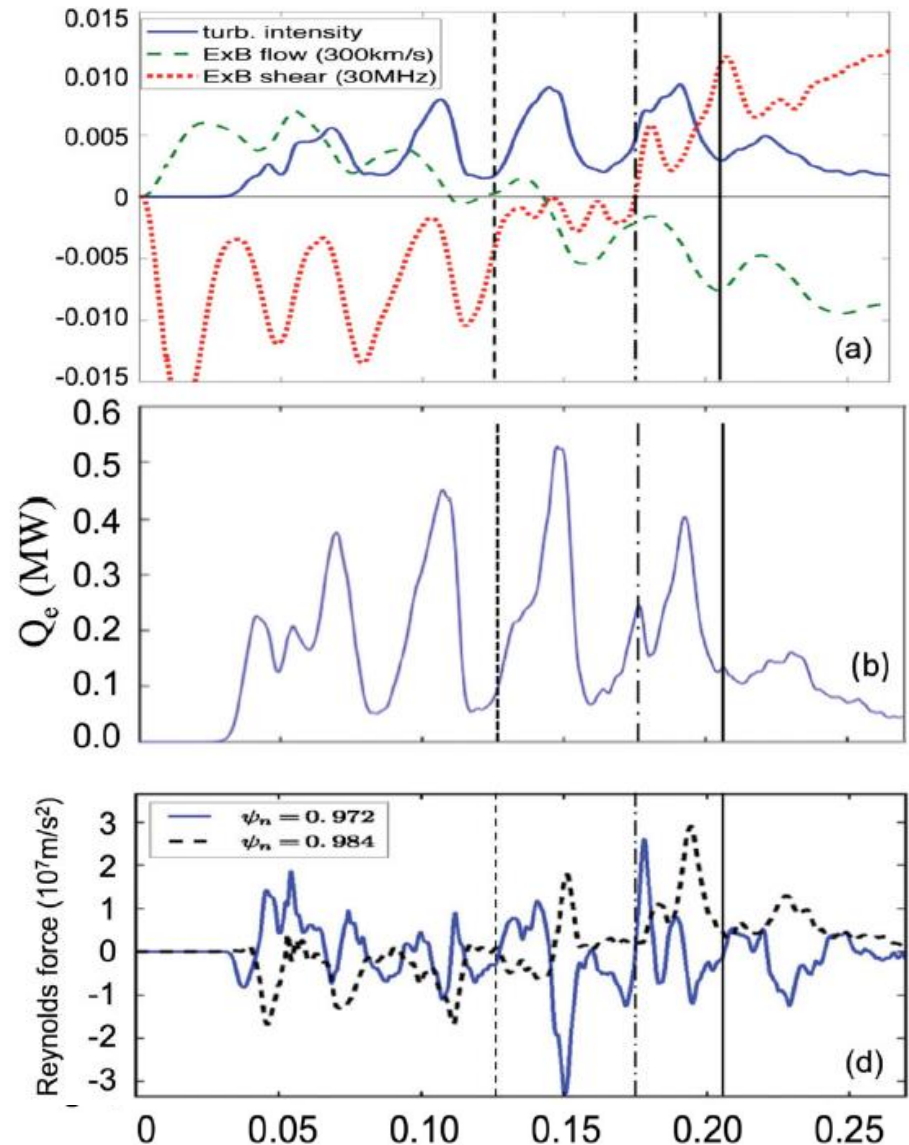
The bifurcation criterion is identified to be  $V_E' > 300$  kHz

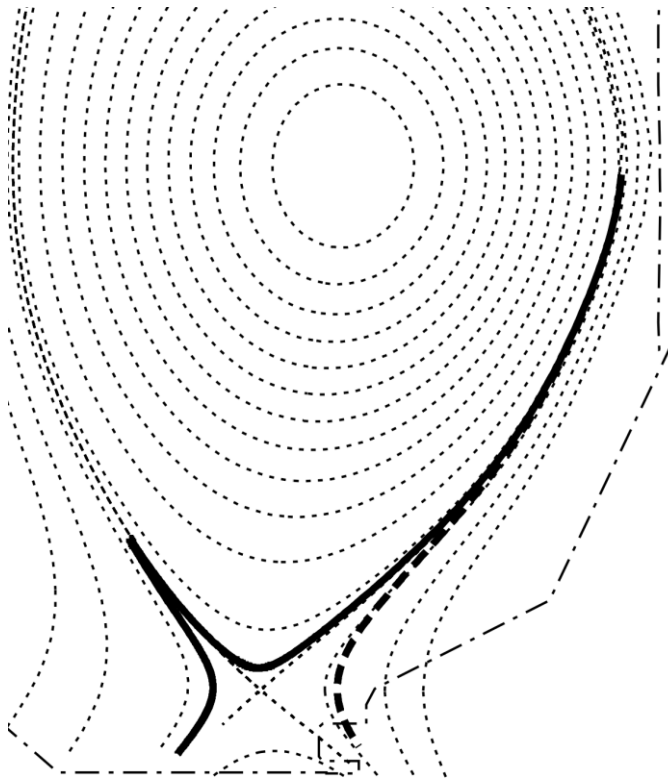
(Maximum growth rate of dissipative TEMs [Romanelli PoP 2007] ).



( $0.96 < \Psi_N < 0.98$ , per Cziegler PPCF 2014)

- Edge transport fluxes are non-local and follow the GAM behavior, with suppression at the “critical” time.
- The Reynolds force from turbulence  $F_{\theta, \text{Reynolds}} = -d\langle \delta V_r \delta V_\theta \rangle / dr$  fluctuates in both directions, and exhibits shearing
- However, the Reynolds force is a non-player after the bifurcation.
- Questions:
  - What is keeping the turbulence suppressed after the bifurcation?
  - Why is the negative Reynolds force not effective
  - What is pushing  $V'_{\text{ExB}}$  further to positive after 0.175 ms?
- It is reasonable to conjecture that there is another force in the positive  $V_E'$  direction

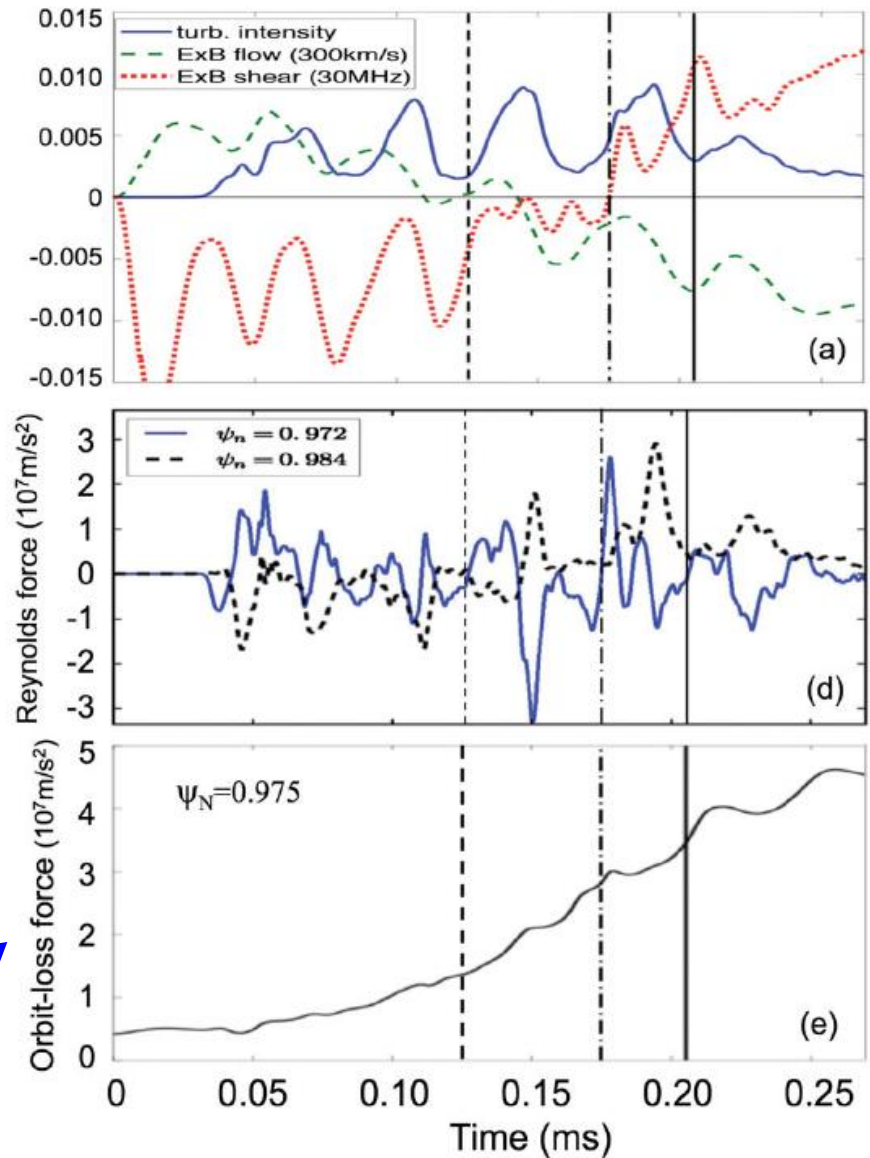




[S. Ku et al., PoP 2004]

**The orbit loss physics provides answers to all three questions.**

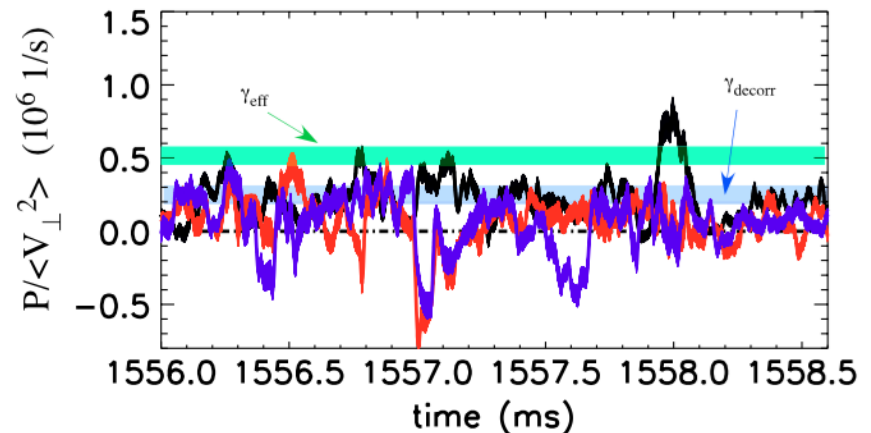
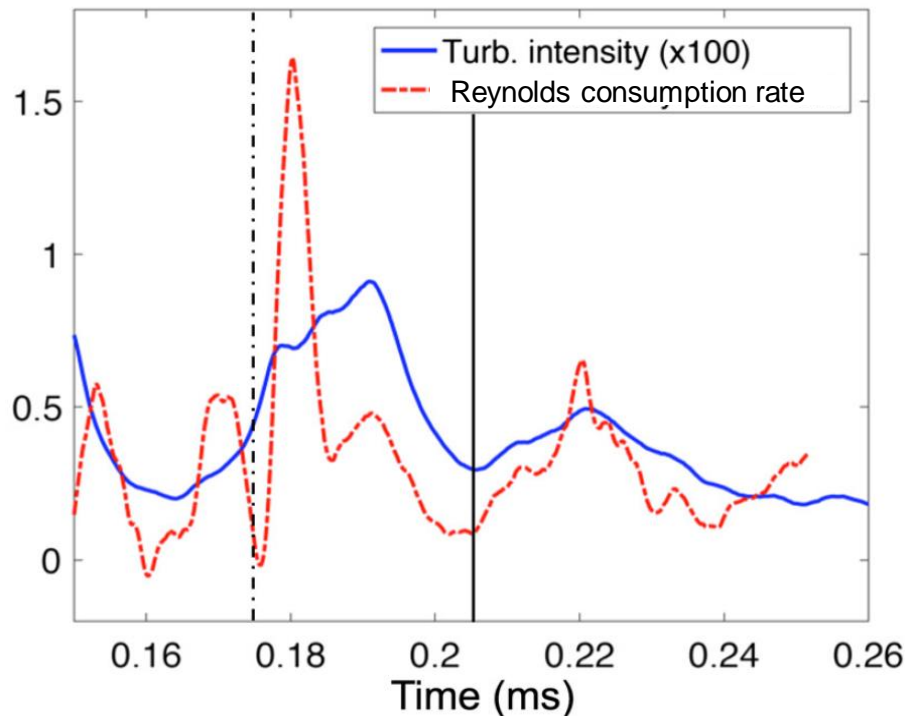
[Chang, PoP 2002]



# Why does the turbulence get cut-off around 0.18ms?

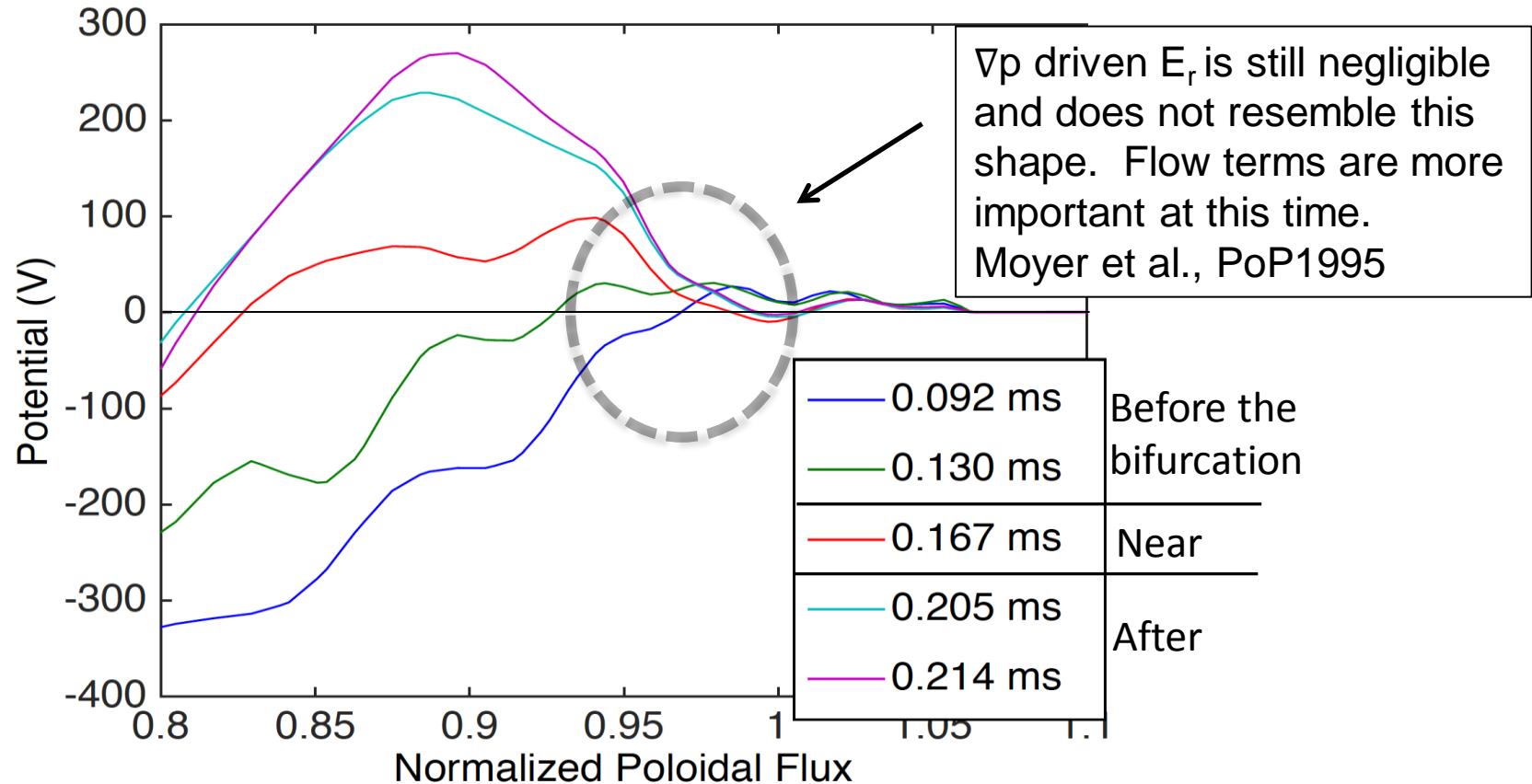
## What triggers the bifurcation action?

The normalized, turbulence Reynolds consumption rate  $P = \langle \tilde{v}_r \tilde{v}_\theta \rangle V_E' / (\gamma_{\text{eff}} \tilde{v}_\perp^2 / 2)$  becomes  $>1$  in the beginning of the bifurcation action (I-phase), but becomes  $<1$  after that  $\rightarrow$  Zonal flows cannot be responsible for keeping the turbulence suppressed.



[Yan PRL 2014]

# Electrostatic potential profile at several different times measured at outboard midplane



- Transition to  $\Phi'' > 0$  is the noticeable feature across the turbulence bifurcation time in the edge transition layer, showing a signature of ion X-loss dominant charge loss after the bifurcation.
- **However,  $\Phi$  is still  $> 0$  in most of the edge layer.**

# Summary and Discussions

- The total-f XGC family codes have been making important scientific discoveries on leadership class computers, which could not have been possible otherwise.
- A forced, fast L-H bifurcation dynamics has been revealed.
- The turbulent Reynolds stress and the neoclassical X-loss physics work together in achieving the L-H bifurcation.
  - When combined together, the puzzle pieces appear to come together.
  - How will the geometry and plasma condition change their combination? → Neoclassical NSTX could be a good test bed.
  - How will this affect  $P_{L-H}$  in ITER where the  $E_{r, NEO}$  is relatively weak?
- Isotope effects may be studied in the near future.
- EM correction to the present electrostatic result will be studied in the future.
- We will study I-mode in the future.

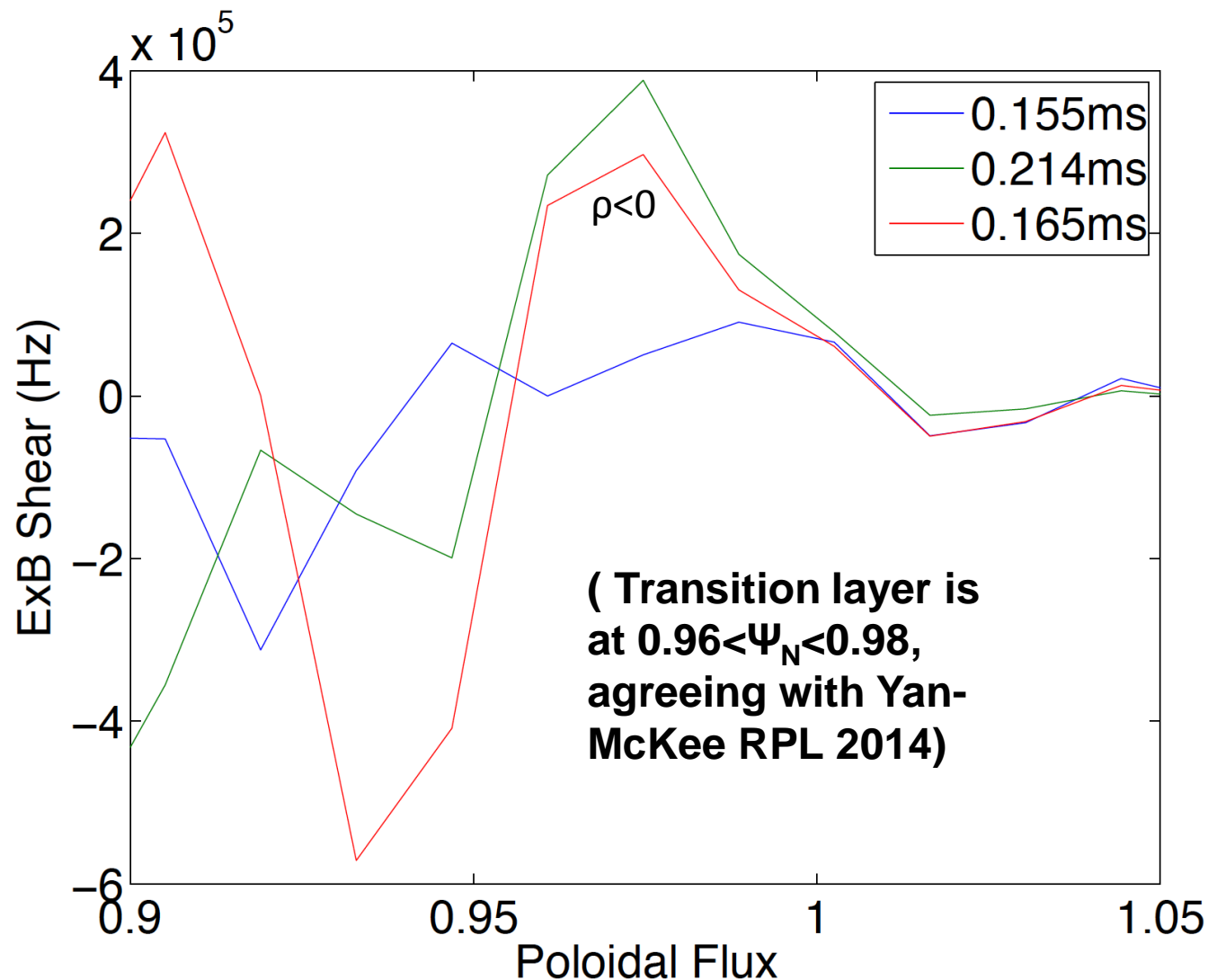




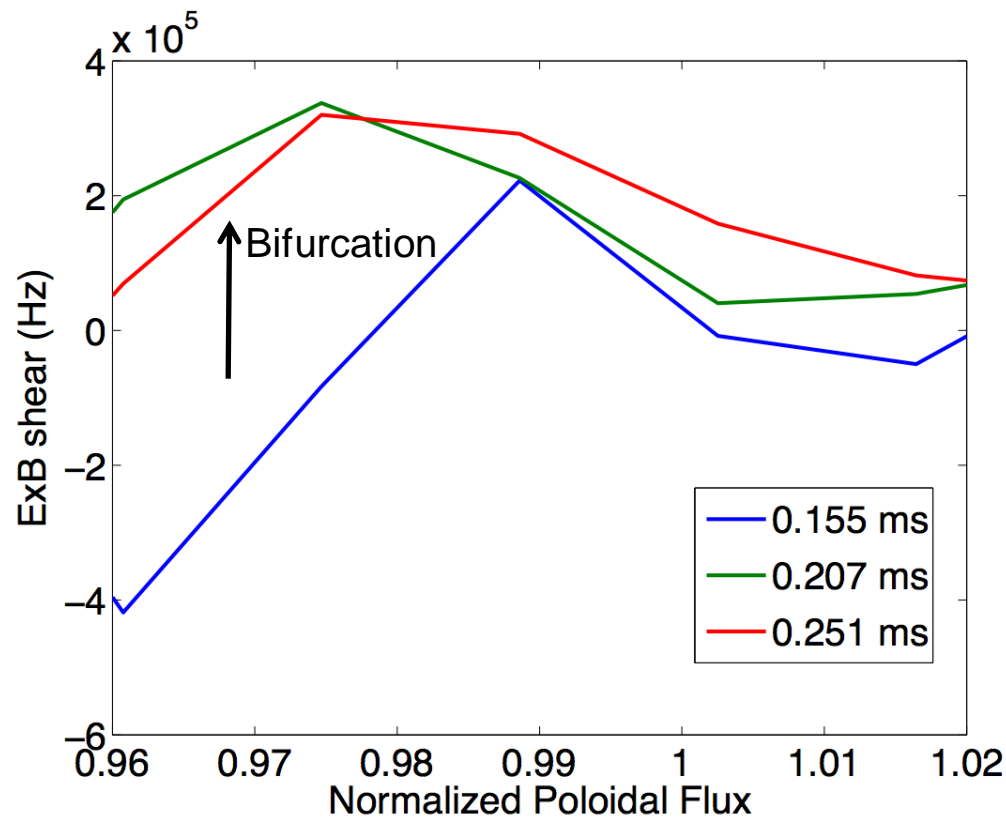
# Backup slides

**ExB shearing from GAM is transferred to the mean ExB shearing inside the transition layer ( $0.95 < \psi_N < 1$ ), but not outside ( $\psi_N < 0.95$ )**

**→ GAM interaction with X-loss**

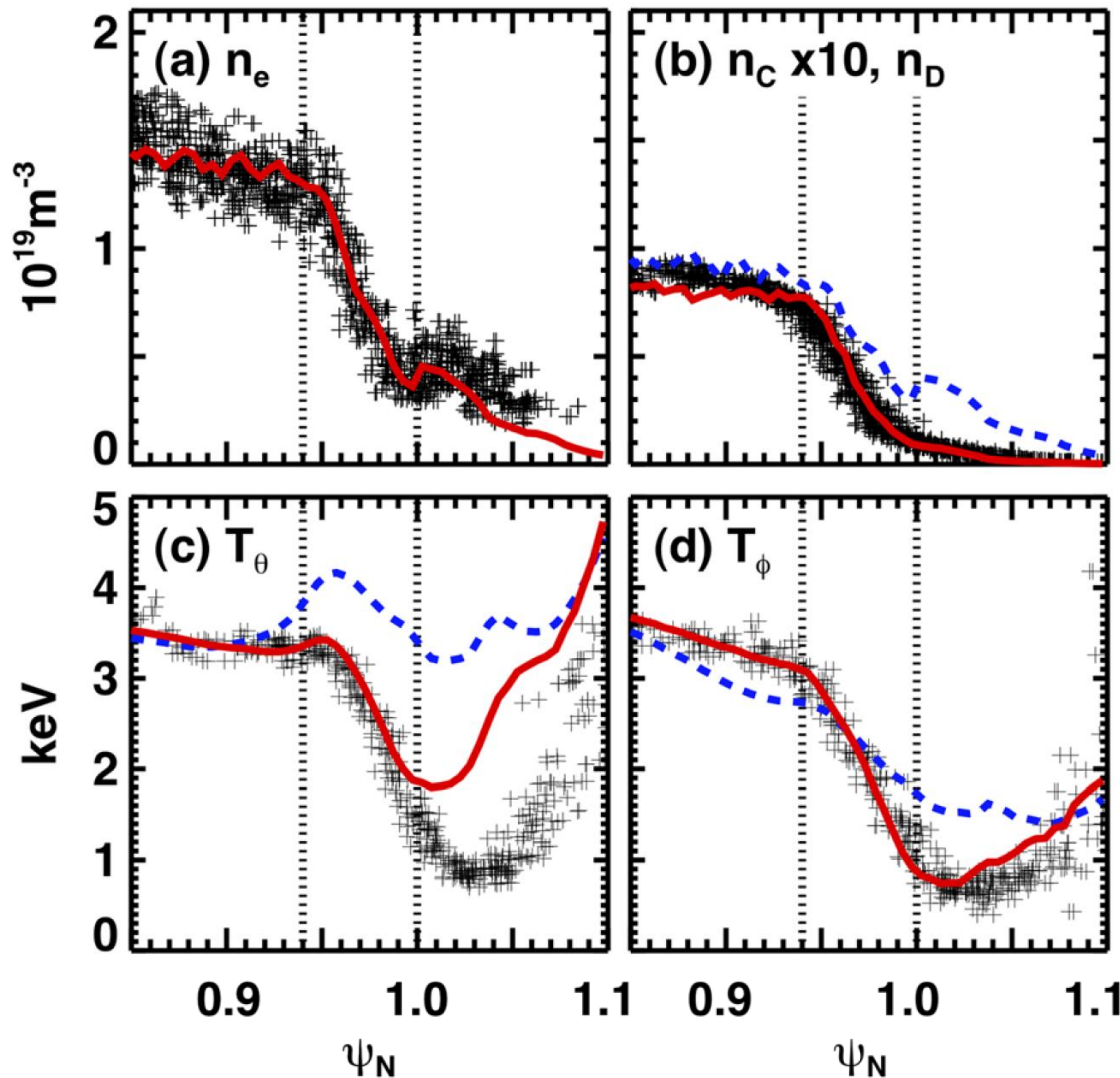


The edge ExB shearing rate has jumped, to the direction of negative guiding center charge.



# Non-Maxwellian plasmas in DIII-D tokamak edge

D.J. Battaglia et al, Phys. Plasmas 21, 072508 (2014)



Blue dashed: D<sup>+</sup> from XGC0  
Red solid: C<sup>6+</sup> from XGC0  
black +': C<sup>6+</sup> from CER

- Radial orbit excursion
- Fast parallel motion
- CX and ionization with neutral particles

# Large $E_{||}$ exists in the scrape-off layer. From XGC1 for self-consistent non-thermal physics

With nonlinear collisions and neutral recycling

INCITE 2015, Titan

